

Methods and calculations of single electron capture and nuclear exchange in  $\text{H}^+ + \text{H}_2$  collisions from high to low energies.

ADAS WORKSHOP, Rinberg Castle, October 2009

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Plasmas de Fusión

October 2009

## ● Ion-Molecule Collisions

### ● Inelastic Processes:

- VE without NE:  $\text{H}^+ + \text{H}_2(\text{X}^1\Sigma_g^+, n = 0) \rightarrow \text{H}^+ + \text{H}_2(\text{X}^1\Sigma_g^+, n)$
- Dissociation:  $\text{H}^+ + \text{H}_2(\text{X}^1\Sigma_g^+, n = 0) \rightarrow \text{H}^+ + \text{H}(1\text{s}) + \text{H}(1\text{s})$
- EC without NE:  $\text{H}^+ + \text{H}_2(\text{X}^1\Sigma_g^+, n = 0) \rightarrow \text{H}(1\text{s}) + \text{H}_2^+(\text{X}^2\Sigma)$
- Dissociative EC :  $\text{H}^+ + \text{H}_2(\text{X}^1\Sigma_g^+, n = 0) \rightarrow \text{H}(1\text{s}) + \text{H}^+ + \text{H}(1\text{s})$
- EC with NE:  $\text{H}^{+C} + \text{H}_2^{AB}(\text{X}^1\Sigma_g^+, n = 0) \rightarrow \text{H}^{A,B}(1\text{s}) + \text{H}_2^{+BC,AC}(\text{X}^2\Sigma^+)$
- Elastic NE:  $\text{H}_C^+ + \text{H}_2^{AB}(\text{X}^1\Sigma_g^+, n = 0) \rightarrow \text{H}_{A,B}^+ + \text{H}_2^{BC,AC}(\text{X}^1\Sigma_g^+, n)$

- **Energies and Methods**

- **Low energy:** Expansion in a basis of molecular wavefunctions with
  - Quantal treatments ( $E < 50$  eV/amu).
    - \* Vibronic Expansion.
  - Semiclassical treatments.
    - \* Sudden approximation for rotation and vibration.
    - \* Franck-Condon approximation.
- **High Energy:** CTMC treatments.

- **Quantum Chemistry calculations.**

- Several Potential Energy Surfaces needed.
- Multireference C.I. methods to calculate PES and couplings
  - Program MELDF. (E.R. Davidson, QCPE 580)
  - Calculation of dynamical couplings:  $\langle \Phi_1 | \frac{d}{dX} | \Phi_2 \rangle$
- Sign determination by using the delayed overlap matrix.
- Conical Intersections.

- **Vibro-rotational Sudden approach**(SEIKON).

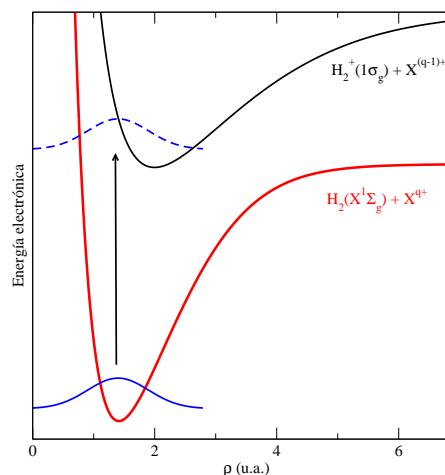
- Charge exchange with vibrational distribution ( $100 \text{ eV/amu} \leq E \leq 50 \text{ keV/amu}$ ).

$v > 0.01a.u.$  Projectile  $\mathbf{R} = \mathbf{b} + \mathbf{v}t$ . Electronic **quantal** treatment.

$$\left[ T_\rho + \mathbf{H}_{\text{el}}(\mathbf{r}, \mathbf{R}, \boldsymbol{\rho}) - i \frac{\partial}{\partial t} |_{\mathbf{r}, \boldsymbol{\rho}} \right] \Psi(\mathbf{r}, \boldsymbol{\rho}, t) = 0; \quad \Psi \underset{t \rightarrow -\infty}{\sim} \chi_0(\rho) Y_{JM}(\hat{\rho}) \Phi_i(\mathbf{r}, \boldsymbol{\rho}) D^i(\mathbf{r}, t) e^{-iE_i t}$$

$$\mathbf{H}_{\text{el}} = \sum_{i=1}^{\text{Nelc}} \left[ -\frac{1}{2} \nabla_{r_i}^2 - \frac{Z_{\text{P}}}{r_{i\text{P}}} - \frac{1}{r_{i\text{H}_1}} - \frac{1}{r_{i\text{H}_2}} \right] + \frac{Z_{\text{P}}}{R_{\text{PH}_1}} + \frac{Z_{\text{P}}}{R_{\text{PH}_2}} + \frac{1}{\rho} + \sum_{i < j} \frac{1}{r_{ij}}$$

- $t_{\text{el}} (\approx 10^{-15} a 100eV) \ll t_{\text{vib}} \approx 10^{-(13-14)} s \ll t_{\text{rot}} \approx 10^{-12} s$



$\Psi_{\text{nuclear}}$  almost unchanged during electronic transitions

- $\Psi^{\text{sub}}(\mathbf{r}, \boldsymbol{\rho}, t) \approx \chi_0(\rho) Y_{JM}(\hat{\rho}) \Phi^{\text{sub}}(\mathbf{r}; \boldsymbol{\rho}, t)$

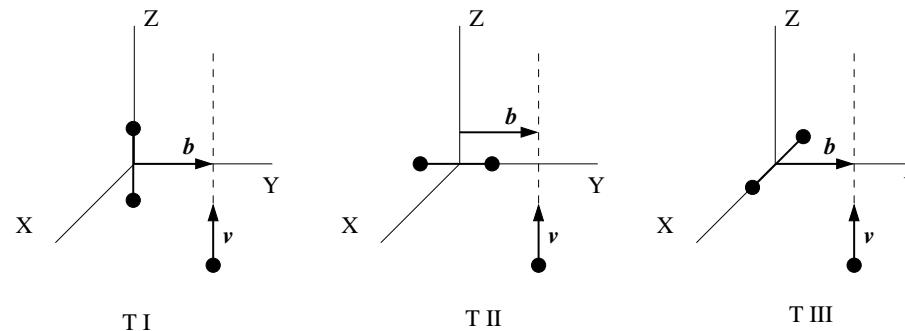
$$\Phi^{\text{sub}}(\mathbf{r}; \boldsymbol{\rho}, t) = e^{iU(\mathbf{r}, t)} \sum_k a_k(t, \boldsymbol{\rho}) \phi_k(\mathbf{r}; \mathbf{R}, \boldsymbol{\rho}) e^{\left[-i \int_0^t E_k dt'\right]}$$

$$H_{\text{el}} \phi_k = E_k \phi_k \rightarrow \{\phi_j(\mathbf{r}; \boldsymbol{\rho}, R), E_j(\boldsymbol{\rho}, R)\}$$

$$M_{jk} = \langle \phi_j e^{iU} \left| H_{\text{el}} - i \frac{\partial}{\partial t} \right| \phi_k e^{iU} \rangle; \quad \frac{\partial}{\partial t} = v_R \frac{\partial}{\partial R} + v_\alpha \frac{1}{R} \frac{\partial}{\partial \alpha};$$

$$\langle \phi_j \left| \frac{\partial}{\partial R} \right| \phi_k \rangle = \delta^{-1} \langle \phi_j(R) | \phi_k(R + \delta) \rangle$$

- Solving, para cada  $\boldsymbol{\rho}$ ,  $[H_i - i \frac{\partial}{\partial t}] \Psi^{\text{sub}} = 0 \rightarrow \{a_j(t, \boldsymbol{\rho})\}$



- **Electro-vibro-rotational transition probabilities**

$$P_{\nu'f}^{J'M'}(v, \mathbf{b}) = \lim_{t \rightarrow +\infty} \left| \left\langle \Psi_{\nu'f}^{J'M'} \right| \Psi^{\text{sud}} \right|^2$$

- **Vibronic transition probabilities** (No rotational distribution)

$$\overline{P_{\nu'f}}(v, \mathbf{b}) = \frac{1}{4\pi} \int d\hat{\rho} \left| \left\langle \chi_{\nu'} \Phi_f D^f \right| \chi_0 \Phi^{\text{sud}} \right|^2 (\hat{\rho})$$

- **Electronic transition probabilities** ( $\sum_{\nu'} |\chi_{\nu'} > < \chi_{\nu'}| = I$ )

$$P_f(\mathbf{b}, \mathbf{v}) = \int d\rho \chi_0^2 \left| \left\langle \Phi_f D^f \right| \Phi^{\text{sud}} \right|^2 ; \sigma_f(\mathbf{v}) = \int d\rho \chi_0^2 \sigma_f^{el}(\rho, \mathbf{v})$$

- Franck-Condon:

$$\sigma_{\nu'f} \simeq \sigma_f^{el}(\rho_0) \left| \int d\rho \chi_0 \chi_{\nu'} \right|^2 \rightarrow \sigma_f = \sigma_f^{el}(\rho_0)$$

- Isotropic:  $E_j(\alpha) \approx E_j(\alpha_0); M_{jk}(\alpha) \approx M_{jk}(\alpha_0)$

- **Variational calculation of  $\chi_{vib}^{H_2}$ ,  $\chi_{vib}^{H_2^+}$**  (GTOs, Bessel)

- $H_3^+$ : **Transitions at low impact velocities** ( $\tau_{col} \sim \tau_{vib}$  ).

(a)  $H^+ + H_2(\nu = 0) \rightarrow H^+ + H_2(\nu' = 3 - 5), (\rho \simeq 2.5),$

(b) resonant capture  $H^+ + H_2(\nu \sim 4) \rightarrow H(1s) + H_2^+(\nu' = 0) (R > 6).$

- Described by vibronic expansions

$$\Psi(\mathbf{r}, \boldsymbol{\rho}; R) = e^{iU(\mathbf{r}, \mathbf{R})} \sum_{i\nu} a_{i\nu}(t) \phi(\mathbf{r}; \boldsymbol{\rho}, \mathbf{R}) \chi_{i\nu}(\rho) e^{-i \left[ \int_0^t dt' E_{i\nu}(t') + \epsilon_{i\nu} t \right]}$$

- **Conical intersections**

- Analysis of transitions in a.c.i. ( $v \rightarrow 0$ )  $\text{H}^+ + \text{H}_2(X^{-1}\Sigma_g^+)$  and  $\text{H}(1s) + \text{H}_2^+(X^{-2}\Sigma_g^+)$   
 $(R \rightarrow \infty \text{ and } \rho \simeq 2.5)(\text{a.c.i.})$
- L-E model in  $\text{H}_3^+$  a.c.i.  $H_{11} - H_{22} = a(\rho - \rho_0); \quad H_{12} = k(1 + R)e^{(-\beta R)}$
- $V^{Morse}(\rho) = D_e [e^{-2\alpha(\rho - \rho_m)} - 2e^{-\alpha(\rho - \rho_m)}];$   
 $E'_\nu = \omega_e \left[ (\nu + 1/2) - \frac{\omega_e}{4D_e} (\nu + 1/2)^2 \right];$
- Divergent non-adiabatic couplings  $\rightarrow$  regular states  $\{\Psi_1^d, \Psi_2^d\}$   
 $(\frac{\partial \theta}{\partial R}, \frac{\partial \theta}{\partial \rho})$  eliminate divergent parts of couplings.

184303-3 Conical intersections for the  $\text{H}_3^+$  system

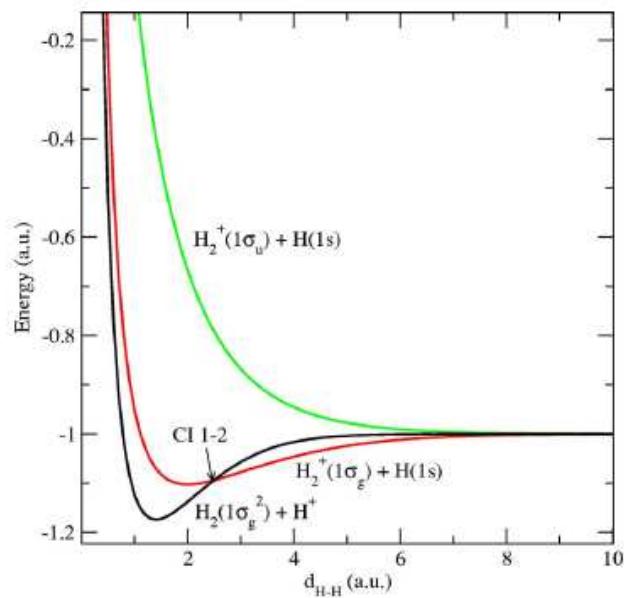


FIG. 4. (Color online) *Ab initio* diatomic energies used in the DIM calculations.

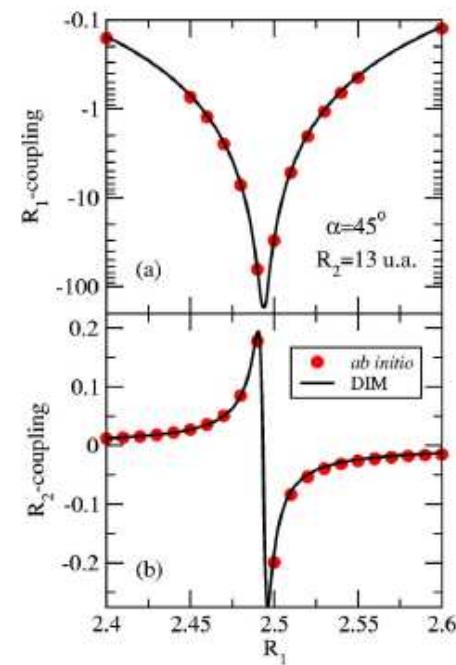


FIG. 2. (Color online) Cut along  $R_1$  of the two nonadiabatic couplings between the first two singlet states of  $\text{H}_3^+$ .

- Initial H<sub>2</sub> Morse vibrational distributions .

- Quantal

$$\varrho_{\nu}^{(q)}(\rho) = \left| F_{\nu}^{(q)}(\rho) \right|^2; \quad F_{\nu}^{(q)}(\rho) = \mathcal{N} e^{-x/2} x^{\varepsilon} Y_{\nu}(x);$$

$$x = \frac{\exp(-\alpha(\rho - \rho_m))}{c}, \quad c = \frac{\alpha}{\sqrt{8\mu D_e}}, \quad \varepsilon^2 = -\frac{2\mu E_{\nu}}{\alpha^2} \text{ and } Y_{\nu}(x) \text{ hypergeometric.}$$

- Classical Microcanonical, ( $E_j = E_{\nu}$ )

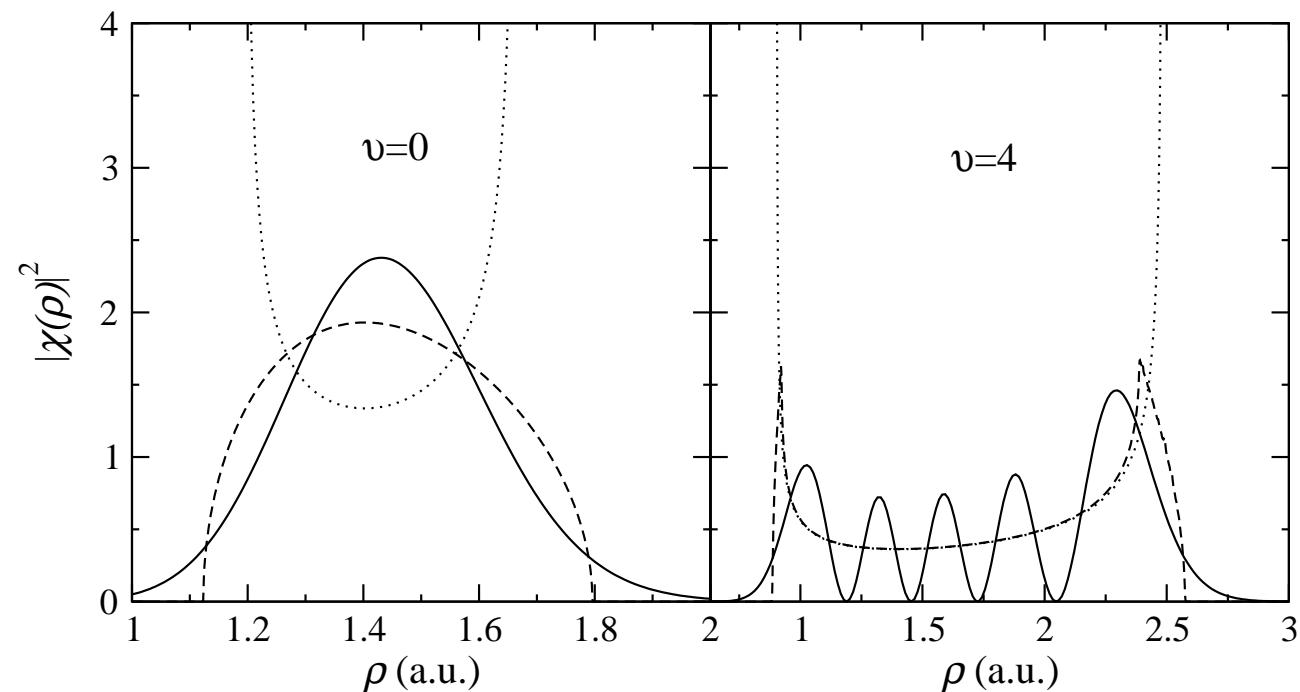
$$\varrho_{\nu}^{(m)}(\rho) = \frac{\alpha}{\pi} \left[ \frac{V(\rho)}{E_{\nu}} - 1 \right]^{-1/2} \text{ diverges in } \rho_{min} \text{ and } \rho_{max} \quad (V(\rho) = E_{\nu})$$

- Classical Continuous:

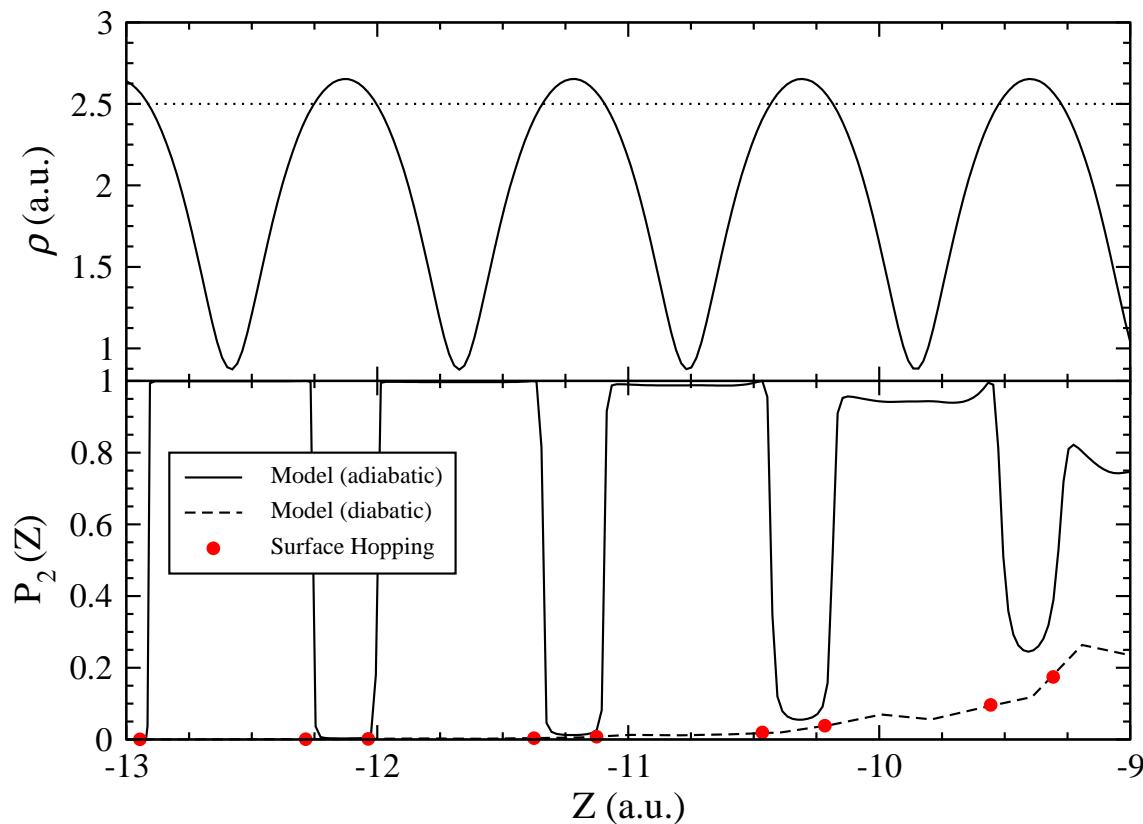
$$\varrho_{\nu}^{(c)}(\rho) = \frac{1}{E_{\nu}^+ - E_{\nu}^-} \int_{E_{\nu}^-}^{E_{\nu}^+} \varrho_{\nu}^{(m)}(E; \rho) dE = \frac{1}{2(E_{\nu}^+ - E_{\nu}^-)} [W(E_{\nu}^+; \rho) - W(E_{\nu}^-; \rho)]$$

$$W(E, \rho) = \frac{2\alpha}{\pi} \mathcal{R}e \left\{ \sqrt{E(V(\rho) - E)} + V(\rho) \sin^{-1} \sqrt{\frac{E}{V(\rho)}} \right\}.$$

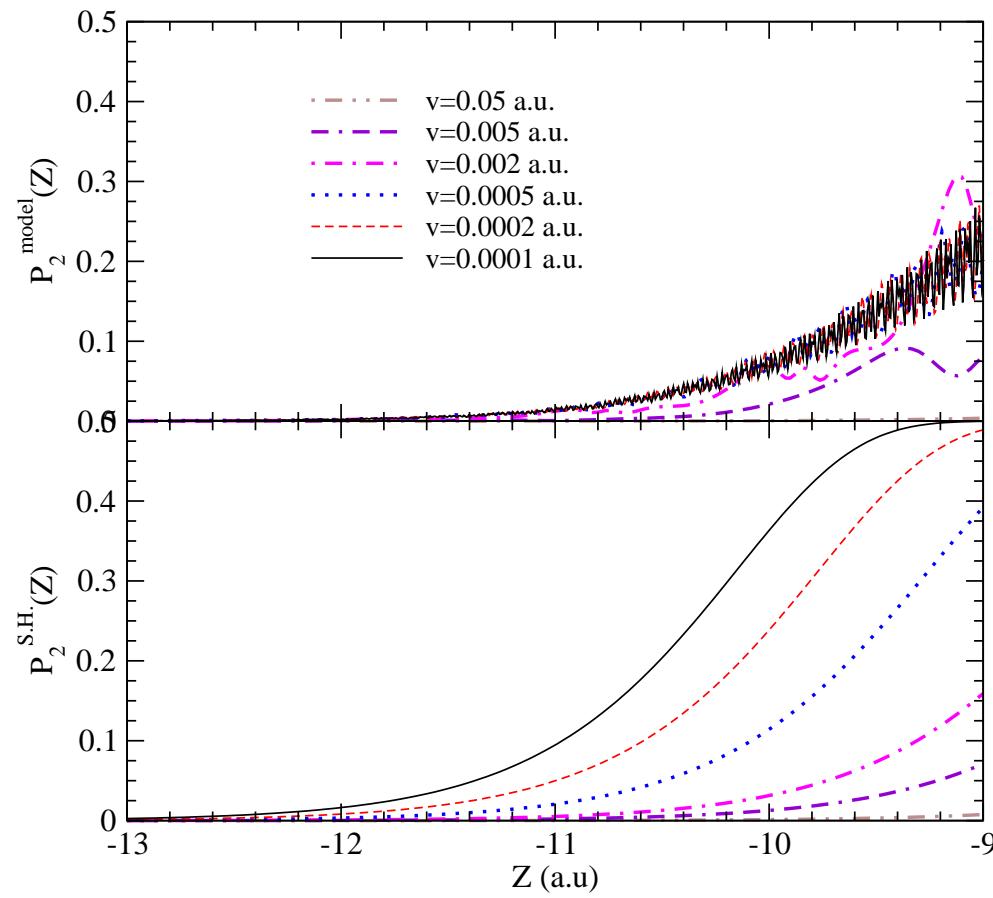
- Initial H<sub>2</sub> vibrational distributions



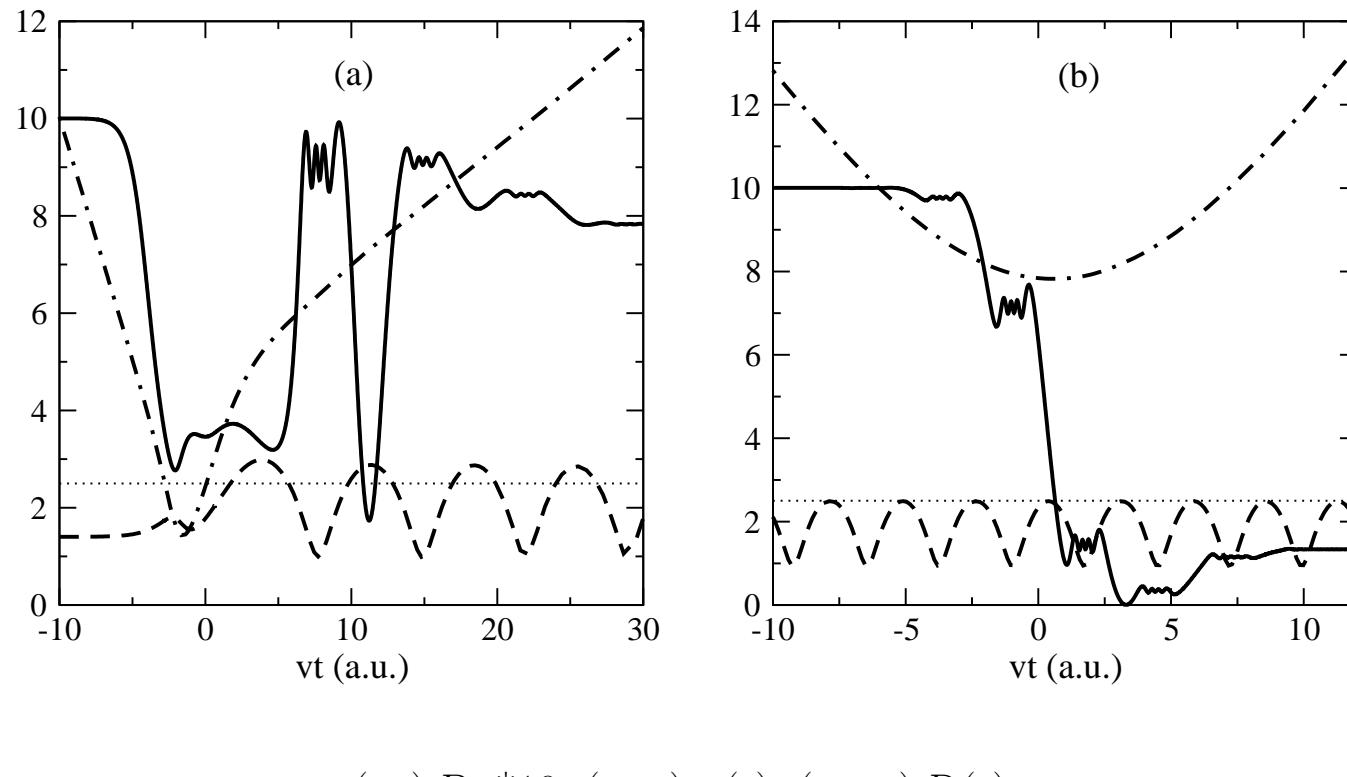
- $\text{H}^+ + \text{H}_2 (\nu = 5)$  results, (  $v=0.002$ ,  $b=1.0$ ,  $\nu = 5$ ,  $\phi = 180^\circ$ . )
- Adiabatic representation: Sudden change  $\rho(t)$  crosses  $\rho_c$ :  $\Psi_1^d \leftrightarrow \Psi_2^d$ .
- Diabatic representation smooth: Non-total diabatic transitions.



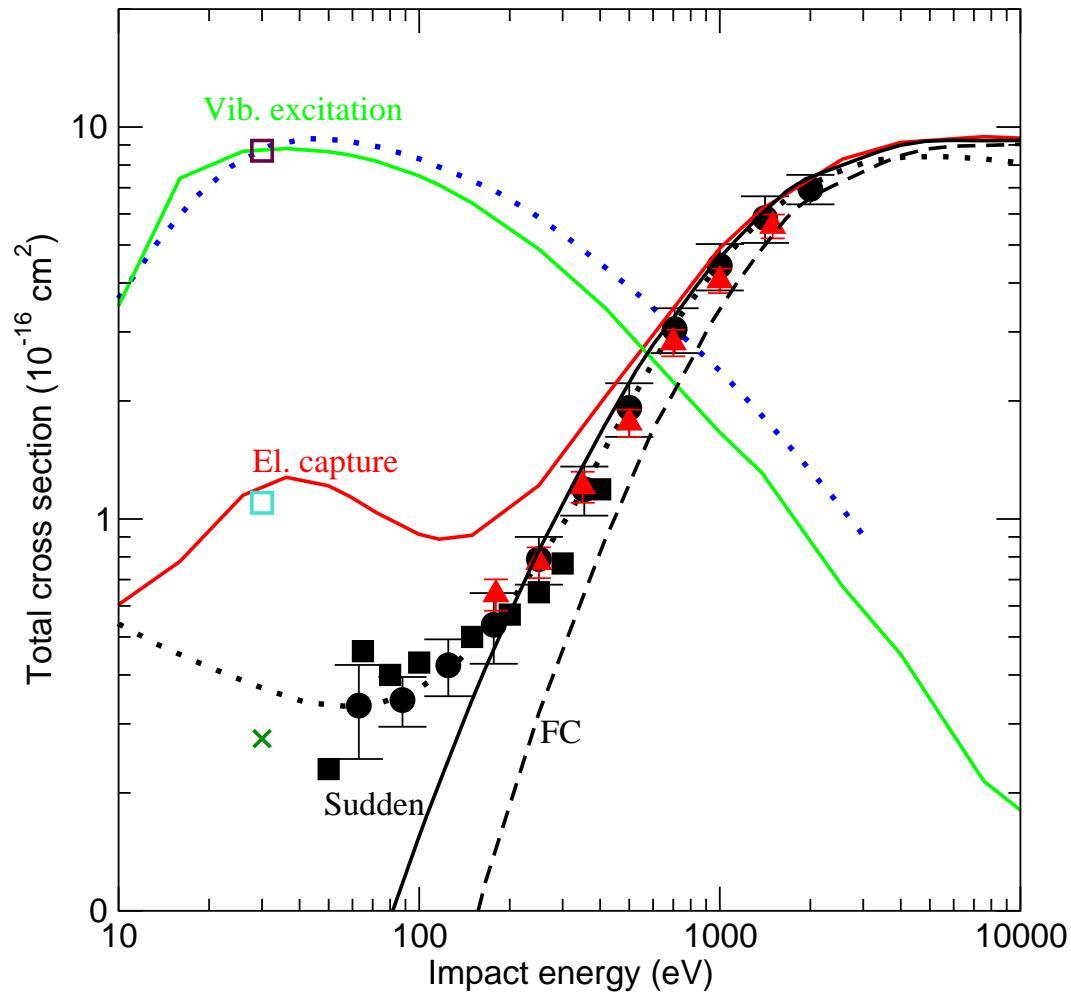
- Low- $v$  limit  $P_2^d$  increases until saturation  $\sim 0.3$ ,  $(\beta H_{12}(Z)/\delta)^2$
- $P_2^{SH}(Z_{in}, v, b) \xrightarrow[v \rightarrow 0]{} 1/2$ , faster the smaller  $v$ .



- Example of transitions in a.c.i.
- (a)  $E = 5$  eV,  $b = 0.8$ ,  $E_\rho = 0$ ; (b)  $E = 1.05$  eV,  $b = 8.0$ ,  $\nu = 4$ .



- F.C., Sudden and Vibronic SEC cross sections in  $\text{H}^+ + \text{H}_2$
- VCC Sudden isotropic rotational approximation. N.E. no considered.



- CNDIM Method

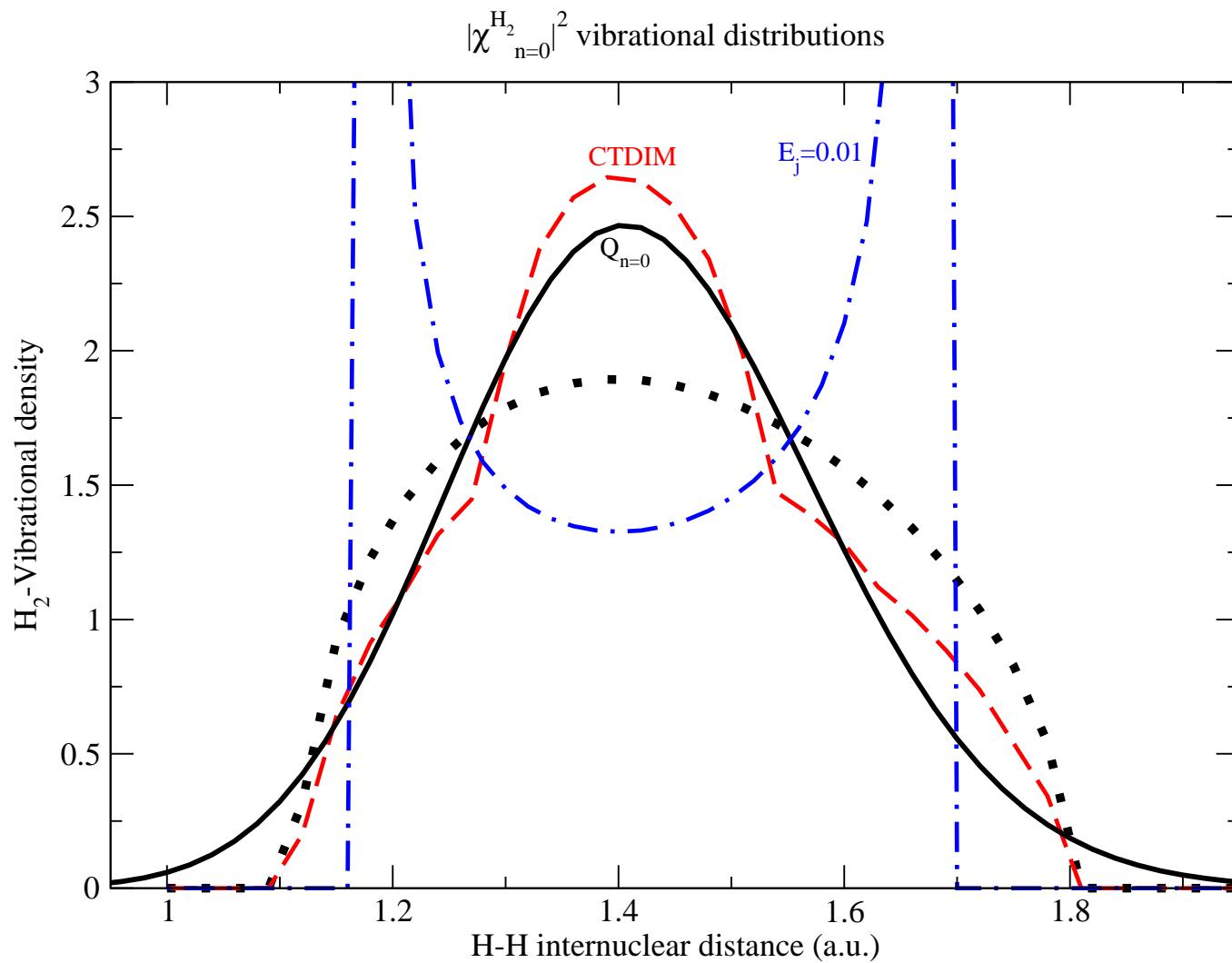
- $\text{H}^+ + \text{H}_2$  collisions ( $2 \leq E \leq 2000 \text{eV}$ ).
- $\tau_{rot} \ll \tau_{col}$ .  $\text{H}_2$  not initial rotation.
- CTMC nuclear evolution ground PES  $\text{H}_3^+ \rightarrow \{\mathbf{R}_{A,B,C}(t)\}$  (Giese and Gentry 1974)

$$\dot{\mathbf{R}}_j = \mathbf{P}_j; \quad \dot{\mathbf{P}}_j = -\nabla V$$

- Integrated until  $vt_{max} \geq 300$  a.u. Electronic and nuclear transitions taken place.
- Nuclear E and  $\mathbf{L}$  conserved with a minimum of eight figures.
- Diatoms In Molecules (DIM) electronic states.
- Electronic and nuclear exchange and rotational excitation allowed.

- $|\chi_n^{CNDIM}(\boldsymbol{\rho})|^2$
- $|\chi_n(\boldsymbol{\rho})|^2 = \int dE_k \int d\mathbf{p} \varrho_k^{cla}(\boldsymbol{\rho}) P_{nk}; E_k = \frac{p_k^2}{2\mu} + V^M(\boldsymbol{\rho})$
- $P_{n,k} = |a_{k,n}|^2 \simeq W_n^{Pr}(\boldsymbol{\rho}, \mathbf{p}) = |\chi_n(\boldsymbol{\rho})|^2 |\bar{\chi}_n(\mathbf{p}_k)|^2$
- $|\chi_n^{CNDIM}(\boldsymbol{\rho})|^2 \simeq \sum_{k=1}^5 \frac{P_{0k}}{E_k^{max} - E_k^{min}} \int_{E_k^{min}}^{E_k^{max}} \varrho^{cla}(\rho, E_k) dE_k$

- CNDIM Distribution



- Identification final vibrational states
- 1.-  $t = t_{max}$ , diatom XY dissociated if  $E_{vib} \geq D_0$ . Bound if  $E_{vib} \leq D_0$
- 2.- Bound final diatoms J= AB (1), AC (2) o BC (3):
 
$$\mathbf{L}_{cla,J} = \mu \boldsymbol{\rho}^J \wedge v_\rho^J \quad ; \quad E_{rot,J}^{cla} = \frac{|\mathbf{L}_{cla,J}|^2}{2\mu\rho^2} \quad (\mu \approx 918).$$
- 3.-  $E_{vib}^{cla} = E_{diat}^{cla} - E_{rot}$ .
- 4.-  $E_{vib}^{cla} \in [E_n^- = \frac{E_n - E_{n-1}}{2}, E_n^+ = \frac{E_n - E_{n+1}}{2}] \rightarrow$  n.
- Elastic AB (J=1). N.E. (J=2+3). Dissoc. (J=4), ( $c_4 = c_1$ ) nuclear contributions

- Electronic evolution

- D.I.M. states correctly describe electronic transitions in a.c.i.

- $\psi_1(\mathbf{r}; \mathbf{R}(t)) \sim H_C^+ + H_2(AB);$

- $\psi_2(\mathbf{r}; \mathbf{R}_j(t)) \sim H_B^+ + H_2(AC),$

- $\psi_3(\mathbf{r}; \mathbf{R}_j(t)) \sim H_A^+ + H_2(BC)$

- $\Psi^{DIM}(\mathbf{r}; R(t)) = \sum_{j=1}^3 c_j(t) \psi_j(\mathbf{r}; R(t)) e^{-i \int_{t_{in}}^t h_{jj}(t') dt'}$

- Schrödinger equation:

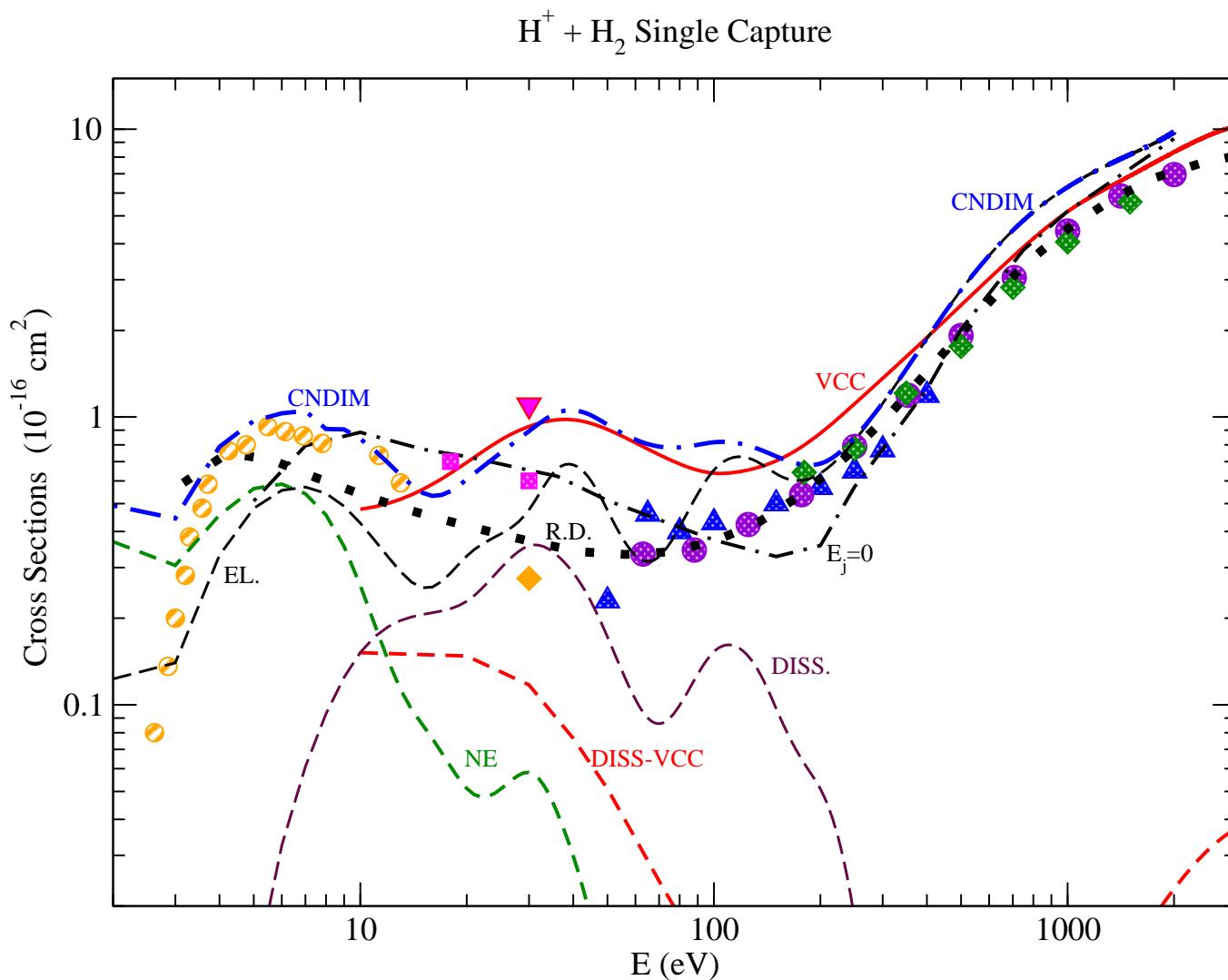
$$\left[ H_{el} - i \frac{\partial}{\partial t} \right] \Psi(\mathbf{r}; R(t)) = 0; \rightarrow i \dot{c}_k(t) = \sum_{j \neq k} c_j h_{kj}(t) e^{-i \int_{t_{in}}^t (h_{jj} - h_{kk})(t') dt'}$$

- Transition amplitudes: From  $c_j(t_{in}) = \delta_{j,1} e^{-ih_{11}(t_{in})},$

$$t_{i,m} = \lim_{t \rightarrow \infty} \langle \psi_m(\mathbf{r}, t) e^{-i E_m t} | \Psi(\mathbf{r}, t) \rangle = c_m(t_{max}) e^{-i \int_{t_{in}}^{t_{max}} (h_{mm}(t) - h_{mm}(t_{max})) dt}$$

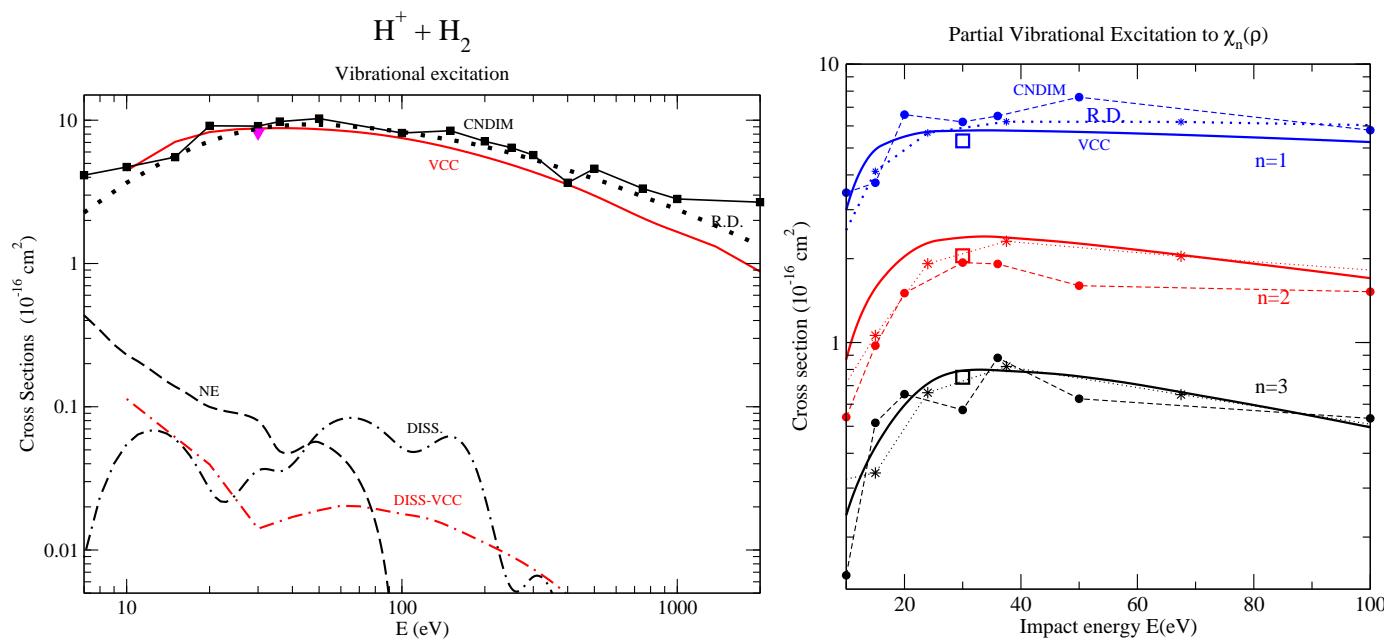
- SEC cross sections

$$\sigma_J^{SC}(v) = \frac{2\pi}{N} \sum_{k=1}^{N_J} P_{0k} \int_0^{b_{max}} dbb [1 - |c_{Jk}|^2](v, b); \rightarrow \sigma^{SC}(v) = \sum_{J=1}^4 \sigma_J^{SC}(v)$$

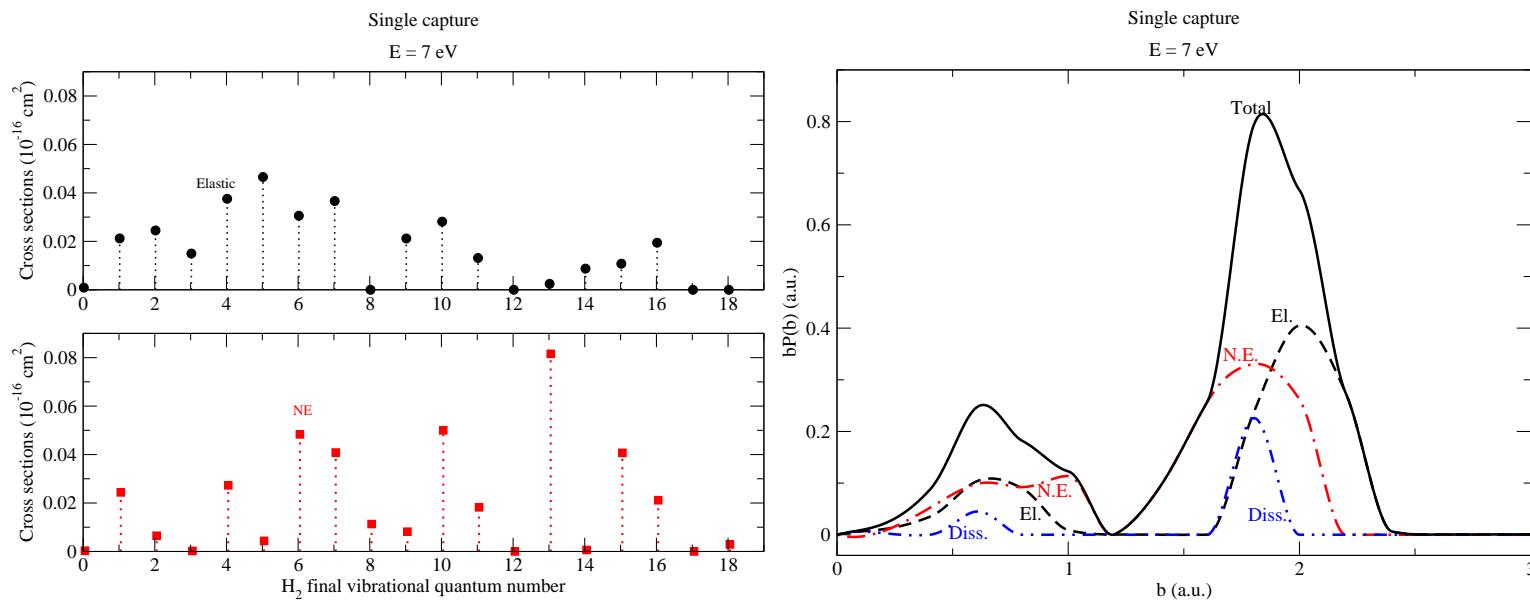


- VE cross sections

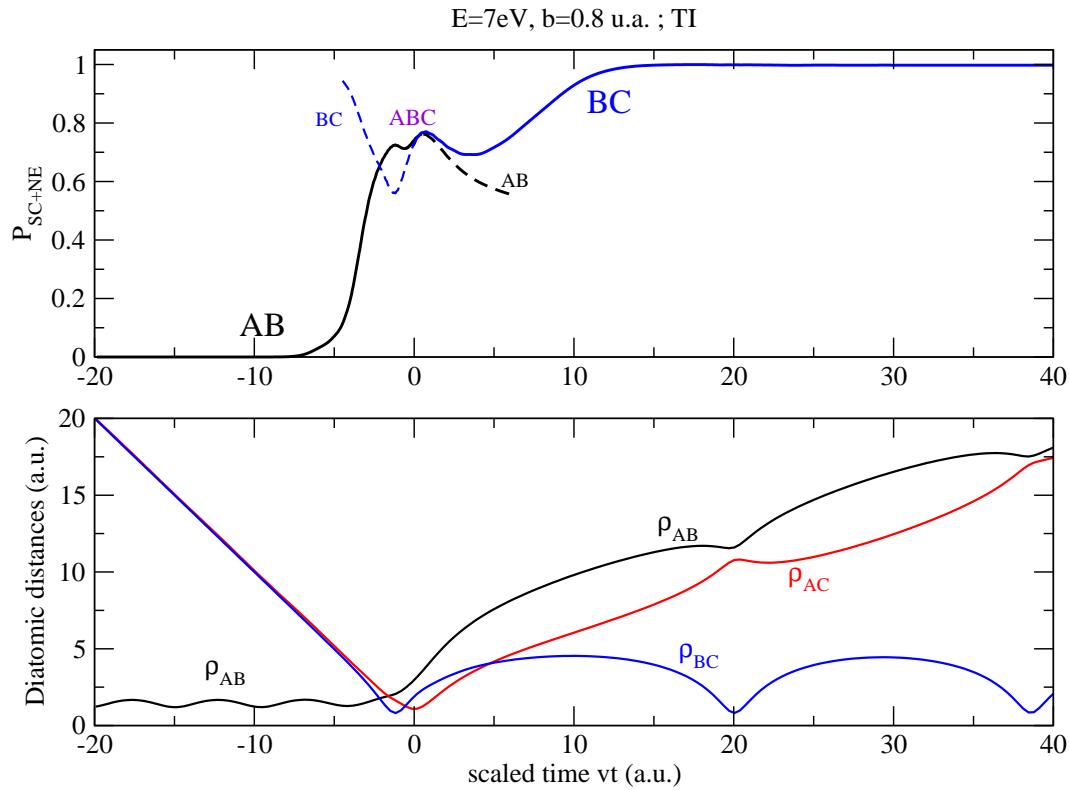
- Partial VE  $\chi_0^{H_2} \rightarrow \chi_n^{H_2}$ :  $\sigma_{Jn}^{VE}(v) = 2\pi \sum_{I=1}^{N=51} P_{0I} \int_0^{b_{max}} dbb \delta_{n,nI} \delta_{J,JI} |c_J(t_{max})|^2$
- Total VE  $\sigma^{VE}(v) = \sum_{J=1}^4 \sum_{n \geq 1} \sigma_{Jn}^{VE}(v)$



- Mechanisms and illustrations ( $E=7\text{eV}$ ).
- $\sigma^{SEC}(\text{AB})$   $n = 4 - 7$ . First stage common to EV.
- Capture with N.E: Great dispersion, with very excited  $n\text{-H}_2$ .
- $P^{\text{SC}}$  limited to  $b \leq 2.5$ . Similar contributions  $J=1-4$ .



- Electron capture with nuclear exchange:  $\text{AB} \rightarrow \text{BC}$  ( $n=13$ )



- $P^{\text{SC}}(vt)$  from AB and BC show  $\text{A} \leftrightarrow \text{C}$  molecular region ( $\text{H}_3^+$ ).
- $P^{\text{SC}} \text{ H}_C^+ + \text{H}_2(\text{AB})$  and  $P^{\text{SC}} \text{ H}_A^+ + \text{H}_2(\text{BC})$  smoothly joint. Unique collision history.

- CNDIM conclusions

- 1.- CTMC (nuclei) and DIM (electrons). SEC and VE in  $\text{H}^+ + \text{H}_2$   $E = 2 - 2000$  eV, including N.E.
- 2.- SEC CNDIM and VCC similar values in  $20 < E < 500$  eV. Maximum  $E \sim 30$  eV, not plotted by experiments and R.D.
- 3.- At  $E \leq 10$ eV, CNDIM-SEC merge Linder 1995 measurements.
- 4.- **Limitación:** Nuclear evolution ground  $\text{H}_3^+$  PES. Lack of  $\text{H}_2^+$  vibrational states.
  - Results with  $E_j = 0$ , similar to R.D. Phelps, and TSH Janev 2001.
- 5.- New method with simultaneous nuclear and electronic evolution under average or different PES (project).

- Capture and ionization in  $\text{H}^+ + \text{H}_2\text{O}$  . (Preliminar)

- $\text{H}_2\text{O}$  prototype multi-electronic and polyatomic molecules.
- Secondary ions and electrons may fragment DNA.
- New CTMC and *ab-initio* methods.

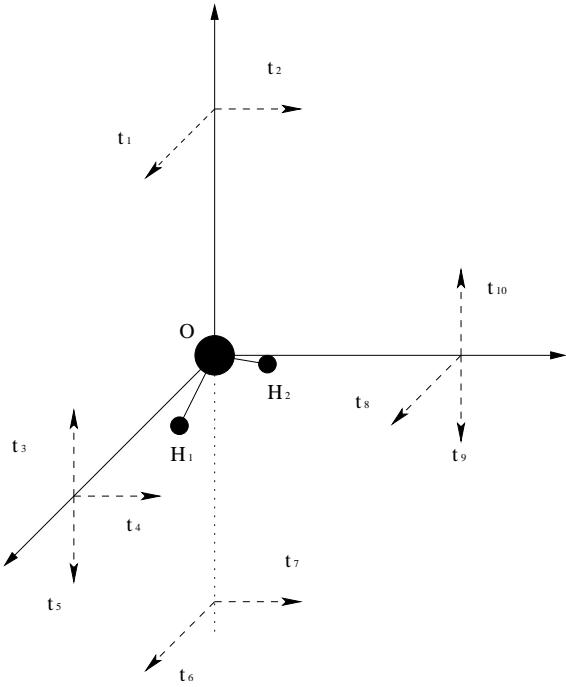
(A) CTMC-IPM study of ionizing processes.

(B) IPM-Asymptotic molecular orbitals (a.m.o.)

(C) IPM-SEC Asymptotic states (a.s.)

- three-center e –  $\text{H}_2\text{O}^+$  pseudo-potencial calculations

$$\left( \text{H} - i \frac{\partial}{\partial t} \Big|_{\boldsymbol{r}} \right); \Psi(\boldsymbol{r}, t) = 0; \text{H}(\boldsymbol{r}; \boldsymbol{R}) = -\frac{1}{2} \nabla^2 + V_{\text{H}_2\text{O}^+} - \frac{1}{r_{\text{H}_3}}$$



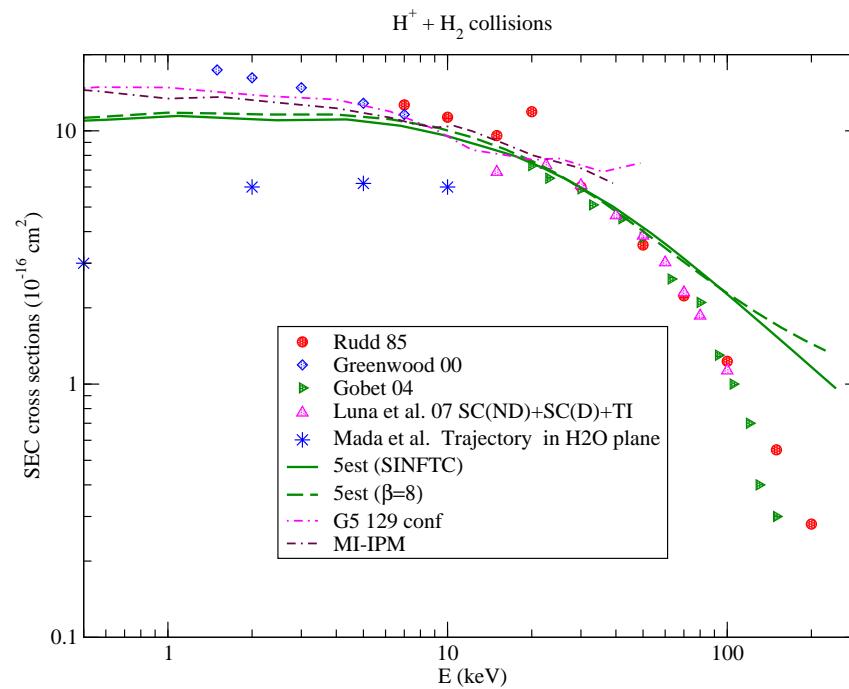
- Equilibrium H<sub>2</sub>O geometry (F.C.). Simulation Sudden approach other geometries.



- H<sub>2</sub>O not spherical. 10 projectile trajectory types.

## ● Results

- $\bar{\sigma}^{SC}$  include trajectories along Y-axix. Larger  $P^{SEC}$ . from H<sub>2</sub>O HOMO.



## ● Projects

1.- New methods at low energy in  $\text{H}^+ + \text{H}_2$ .

- Simultaneous electronic and nuclear evolutions (SE).
- Classical vibronic expansions (CVE).

2.- New methods to study collisions of ions with intermediate molecules.

- Study of electronic and nuclear processes including fragmentation.
- Evaluation of D.I.M. hamiltonian matrices in  $\text{H}_3\text{O}^+$ . CNDIM.

- Members of group

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